



# SEAX TRUST Code of Conduct



## Code of Conduct

This Policy sets out that which will be applied going forward from its adoption

### **AMENDMENTS:**

#### **February 2021 Conduct During the Coronavirus Pandemic – section added P15**

Effective Date of Adoption:	<b>10<sup>th</sup> February 2021</b>
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This is a model policy/procedure which reflects legislation, any relevant statutory and non-statutory guidance and best practice. The responsibility for setting policy and procedure resides with the Governing Board/Trust and as such the relevant body must be satisfied that the content of the policy/procedure suits their requirements and must consult their staff/local trade union representatives prior to its formal adoption. In this context, Education HR does not negotiate and agree its model policies with the Trade Unions/Professional Associations, although they are consulted and their feedback is considered in the development of the documents.

Model Code of Conduct Policy for Schools & Academies

*Published by:*

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The SEAX Trust is committed to being a fair and reasonable employer and to ensuring that it provides a professional and ethical environment which serves and protects the whole education community.

Through the Scheme of Delegation the SEAX Trust is responsible for determining HR policies in accordance with all appropriate regulations:

- Setting Terms and Conditions of Employment
- Establishing Trust-wide policies – Pay, Performance Management, Code of Conduct, Recruitment, Capability, Discipline & Dismissal, Grievance, Leave of Absence, Sickness Absence, Redundancy & Re-structuring
- Appointing CEO/Executive Principal
- Appointing cross-Trust staff
- Dismissing CEO/Executive Principal, local Principals/Headteachers, Deputy Headteachers and senior cross-Trust staff in accordance with the Trust Disciplinary and Capability Policies

Through effective HR management the SEAX Trust will:

- Establish and maintain an effective organisational structure in which all staff are clear about their roles;
- Recruit, deploy and retain staff flexibly to meet the establishment's priorities and future plans, making the best use of individual skills;
- Induct and develop staff in line with their individual needs and those of the establishments' improvement plans;
- Establish and maintain constructive and harmonious staff relationships through good channels of communication, consultation and feedback;
- Ensure equal opportunities in recruitment, staff development, training, management, pay;
- Develop, maintain and operate policies and procedures which motivate and support staff and facilitate the early resolution of disputes and promote attendance;
- Allocate appropriate resources to HR management and equip managers at all levels to effectively manage HR issues;
- Regularly monitor, measure, evaluate and review all aspects of HR management;
- Have in place appropriate administrative systems to support the management of HR issues

The SEAX Trust is responsible for determining the Pay Policy and Performance Management Policy, following consultation with staff.

The Resource & Audit Committee is responsible for reviewing and proposing amendments to the Pay Policy and the Performance Management Policy, for consultation with staff.

The Local Academy Committee (LAC) is responsible for implementing the Pay Policy and Performance Management Policy and making pay decisions following Performance Management Reviews.

All Appeal Committees will have representation from LAC members across the Trust.

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## 1. Introduction

- 1.1 The SEAX Trust is committed to providing a professional and ethical environment, which serves and protects the whole education community. Certain expectations for good conduct are described in specific policies and procedures e.g. Disciplinary Procedure, Equality and Diversity in Employment Policy, Recruitment Policy and professional expectations are set out in national and local conditions of service and by relevant professional bodies. This policy supplements these provisions and provides additional guidance for employees and individuals engaged to work in the Trust.
- 1.2 The overriding expectation is that employees and those engaged to work in the Trust will adopt high standards of personal conduct in order to maintain the confidence and respect of their colleagues, pupils or students, the public in general and all those with whom they work or come into contact with in the course of their employment or engagement by the individual academy.

This means that employees and those engaged to work in the Trust should not:

**Behave through words, actions, or in a manner which would lead any reasonable person to question their suitability to work with children or act as a role model**

- 1.3 The Trust expects employees and those engaged to work in the Trust to be professional and to ensure that their conduct reflects

## The Seven Principles of Public Life

### Selflessness:

Holders of public office should act solely in terms of public interest.

### Integrity:

Holders of public office must avoid placing themselves under obligation to people or organisations that might try inappropriately to influence them in their work. They should not act or take decisions in order to gain financial or other material benefits for themselves, their family, or their friends. They must declare and resolve any interests or friendships.

### Objectivity:

Holders of public office must act and take decisions impartially, fairly on merit, using the best evidence and without discrimination or bias.

### Accountability:

Holders of public office are accountable to the public for their decisions and actions and must submit themselves to the scrutiny necessary to ensure this.

### Openness:

Holders of public office should act and take decisions in an open and transparent manner. Information should not be withheld from the public unless there are clear and lawful reasons for doing so.

**Honesty:**

Holders of public office should be truthful.

**Leadership:**

Holders of public office should exhibit these principles in their own behaviour. They should actively promote and robustly support the principles and be willing to challenge poor behaviour wherever it occurs.

Any breaches of the SEAX Trust Code of Conduct will be regarded as a serious matter which could result in investigatory action, and in certain circumstances could potentially lead to removal from office.

## 2. Scope

This Code applies to all individuals employed by the school/Trust or those engaged by the school/Trust including:

- relief/casual staff;
- supply staff;
- third parties providing services to the school/Trust (including self-employed individuals); and
- voluntary workers

For the purpose of elements of this Code applying to all individuals set out above, they are collectively referred to as "workers".

## 3. Roles and responsibilities

### 3.1 SEAX Trustees

It is the responsibility of the SEAX Trust, through its Trustees and Committees, to determine and monitor compliance of the Code of Conduct.

### 3.2 Local Academy Committee (LAC)

It is the responsibility of the LAC to establish and monitor standards of conduct and behaviour within each academy, including the establishment of relevant policies and procedures.

Trustees and LAC members are subject to their own Code of Conduct, available from the Trust's Company Secretary.

### 3.3 Headteachers and Line Managers

It is the responsibility of the Headteachers and Line Managers to address promptly any breaches

of good conduct and behaviour, using informal procedures where possible, but implementing formal procedures where necessary.

### **3.4 Employees**

It is the responsibility of all employees to familiarise themselves with, and comply, with this Code. Any breaches of this Code of Conduct will be regarded as a serious matter which could result in disciplinary action, and in certain circumstances could potentially lead to dismissal.

### **3.5 Engaged workers/Volunteers**

Engaged workers and volunteers are required to familiarise themselves, and comply, with this Code in so far as it is relevant to their role. Any breaches of this Code may result in the engagement of the worker/volunteer being terminated, in accordance with any applicable terms of engagement.

## **4. Reporting breaches of standards of good conduct**

The Trust/School wishes to promote an open environment that enables individuals to raise issues in a constructive way and with confidence that they will be acted upon appropriately without fear of recrimination.

All employees, engaged workers and volunteers are expected to bring to the attention of an appropriate manager/LAC member/Trustee any impropriety, deficiency in the provision of service or breach of policy or this Code. Where appropriate, individuals should also refer to the Trust's Whistleblowing Policy which is available from the school/Trust office.

## **5. The Code of Conduct**

### **5.1 Safeguarding and Child Protection**

It is essential that all adults working with children understand that the nature of their work and the responsibilities related to it, place them in a position of trust. Adults must be clear about appropriate and safe behaviours for working with children in paid or unpaid capacities, in all settings and in all contexts, including outside work.

The relevant requirements specific to safeguarding and child protection are set out in:

- the school's Child Protection and Behaviour Management Policies and Procedures
- the Department for Education Statutory Guidance "Keeping Children Safe in Education"

(September 2016, as amended from time to time).

This is the key statutory guidance which all employees must follow and all employees and volunteers must, as a minimum, read Part 1 of that Document.

"Guidance for Safer Working Practice for those working with Children and Young People in Education Settings" issued by the Safer Recruitment Consortium sets out key expectations for adult interactions with children and young people – the full guidance is available at:

<https://www.saferrecruitmentconsortium.org/GSWP%20May%202019%20final.pdf>.

In addition, individuals should be aware that it is criminal offence (s 16. Sexual Offences Act 2003) for a person aged 18 or over to have a sexual relationship with a child under 18 where that person is in a position of trust in respect of that child, even if the relationship is consensual.

Individuals should familiarise themselves with these documents, in conjunction with the body of the Code of Conduct and other relevant schools policies and procedures.

## **5.2 Conduct outside work**

The school recognises and respects individuals' rights to a private life without interference. However, individuals connected with the school/Trust must not act in a way that would bring the school/Trust, or their profession, into disrepute or that calls into question their suitability to work with children. This covers relevant criminal offences, such as violence or sexual misconduct, inappropriate behaviour such as lewd or offensive action, as well as negative comments about the Trust, school, or its community.

Workers must disclose to the school's Headteacher (in the case of the Headteacher, to the LAC Chair AND in the case of the Trust, to the CEO) immediately, any wrongdoing or alleged wrongdoing by themselves (regardless of whether they deny the wrongdoing/alleged wrongdoing), including any incidents arising from alternative employment or outside of work which may have a bearing on their employment or engagement with the school/Trust.

Employees should also refer to the expectations set out in their contract of employment and the disciplinary procedures.

In addition, any worker engaged in a post covered by the Childcare (Disqualification) Regulations 2009 ("the Regulations") must immediately inform the school/Trust of any events or circumstances which may lead to their disqualification from working in the post by virtue of the Regulations. The statutory guidance relating to Disqualification under the Childcare Act 2006 can be found at the following link:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/414345/disqualification-guidance\\_Feb\\_15\\_3\\_.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/414345/disqualification-guidance_Feb_15_3_.pdf)

### **5.2.1 Secondary employment**

The school/Trust does not seek to unreasonably preclude employees from undertaking additional employment, but employees are required to devote their attention and abilities to their duties at the school/Trust during their working hours and to act in the best interests of the school/Trust at all times. The school/Trust also has a duty to protect health and safety in relation to employee working hours. Accordingly, employees must not, without the written consent of the school/Trust, undertake any employment or engagement which might interfere with the performance of their duties. In addition, employees should avoid engaging in business or employment activities that might conflict with the school/Trust's interests.



### **5.3 Confidentiality**

Confidential information can take various forms and be held and transmitted in various ways e.g. manual records (files, reports and notes), verbal discussions and electronic records. As a general rule, all information received in the course of employment or whilst volunteering/being engaged by the school/Trust, no matter how it is received, held or transmitted, should be regarded as sensitive and confidential and must not be disclosed or divulged within or outside the school/Trust, other than in accordance with the requirement of the role and/or where specific permission has been provided.

**NOTE:** All workers must be aware that they are obliged to disclose information relating to child protection issues and should make it clear to the individual either that confidentiality cannot be guaranteed and/or decline to receive the information and direct them to a more appropriate person e.g. the Designated Safeguarding Lead.

The school/Trust is committed to being transparent about how it collects and uses the personal data of its workforce, and to meeting its data protection obligations. The Data Protection Policy sets out the school's commitment to data protection, and individual rights and obligations in relation to personal data.

Any actual or suspected/potential breach of data protection must be reported immediately to the school/Trust's Data Protection Officer.

#### **5.3.1 Preserving anonymity**

The Education Act 2011 contains reporting restrictions preventing the publication of any material which could lead to the identification of a teacher in the event of an allegation against them made by a pupil at the same school. Any individual who publishes material which could lead to the identification of the employee who is the subject of an allegation of this kind may be subject to criminal and disciplinary action, up to and including dismissal.

"Publication" includes any speech, writing, relevant programme or other communication in whatever form, which is addressed to the public at large or any section of the public. For the avoidance of doubt, this includes publishing details of an allegation or other information on a social media site which could lead to the identification of the teacher.

#### **5.3.2 Media queries**

Workers must not speak to the press or respond to media queries on any matter relating to the school or Trust. All media queries should be referred immediately to the Headteacher/LAC Chair/Trust CEO.

### **5.4 Use of computers, email and the internet and social media**

The school/Trust recognises that electronic devices and media are important tools and resources in an educational context and can save time and expense.

Those using the school's equipment and networks are expected to do so responsibly and to comply with all applicable laws, policies and procedures, and with normal standards of professional and personal courtesy and conduct.

Personal use of social media and other on-line applications which may fall into the public domain should not be such that it could bring the school/Trust into disrepute and/or call into question an individual's suitability to work with children.

*Detailed expectations are set out in the Computer, Mobile Phone, Email and Internet Policy or Acceptable Use Policy available at Appendix 1.*

Any worker who is unsure about whether or not something he/she proposes to do might breach that policy or if something is not specifically covered in the policy they should seek advice from their line manager or a member of the Senior Leadership Team.

## **5.5 Relationships**

### **5.5.1 The internal school community**

All workers are expected to treat members of the school community with dignity and respect and to work co-operatively and supportively. Bullying, Harassment and Victimisation will not be tolerated (see also the Trust's Grievance Procedure).

### **5.5.2 The wider community and service users**

All workers have a responsibility to ensure courteous, efficient and impartial service delivery to all groups and individuals within the community. No favour must be shown to any individual or group of individuals, nor any individual or group unreasonably excluded from, or discriminated against, in any aspect of school/Trust business.

### **5.5.3 Contracts**

All relationships of a business or private nature with external contractors, or potential contractors, must be made known to the LAC/Board of Trustees. Orders and contracts must be in accordance with standing orders and financial regulations of the Trust. No special favour should be shown to businesses run by, for example, friends, partners or relatives in the awarding of contracts, tendering process or any other business transaction.

### **5.5.4 Gifts and Hospitality**

Workers may not accept any gift or hospitality from a person intended to benefit from their services (or those whom they supervise) or from any relative without the express permission of the school/Trust.

Where an outside organisation wishes to sponsor or is seeking to sponsor a school/Trust activity, whether by invitation, tender, negotiation or voluntarily, the sponsorship should always be related to the school/Trust's interests and never for personal benefit.

The Trust's policy on gifts and hospitality is available from the school/Trust office. Any breaches of this policy may lead to disciplinary action.

### **5.5.5 Neutrality**

Workers must not allow their own personal, political, religious or other views and opinions to interfere with their work. They are expected to be neutral in their views in the course of their work at the school/Trust and to present a balanced view when working with pupils.

## **5.6 Close personal relationships at work**

Close personal relationships are defined as:

- workers who are married, dating or in a partnership or co-habiting arrangement;
- immediate family members for example parent, child, sibling, grandparent;
- other relationships for example extended family (cousins, uncles, in-laws), close friendships, business associates (outside the school/Trust).

### **5.6.1 Applicants**

Applicants are required to disclose on their application form if they have a close personal relationship with any person connected with the school/Trust.

Applicants are asked to state the name of the person and the relationship. Failure to disclose such a relationship may disqualify the applicant.

Workers should discuss confidentially with their Headteacher/Line Manager/the Trust's CEO, any relationships with an applicant.

It is inappropriate for any worker to sit on an appointment panel, for those with whom they have a close personal relationship.

### **5.6.2 References**

It is expected that, for those working with children, professional references, and not personal references, are sought and provided. All references provided on behalf of the school/Trust must be signed by the Headteacher (LAC Chair for the Headteacher/CEO for Trust workers/Chair of the Board of Trustees for the CEO).

Anyone agreeing to act as a personal referee must make it clear in the reference that it is provided as a personal or colleague reference and is not a reference on behalf of the school/Trust. Personal or colleague references must not be provided on school/Trust headed paper.

### **5.6.3 Relationships at work**

It is also recognised that situations arise where close personal relationships can be formed at work. Such relationships should be disclosed, in confidence, to the line manager/supervisor by the individuals concerned as this may impact on the conduct of the school/Trust.

Whilst not all such situations where those in close personal relationships work together raise issues of conflict of interest, implications can include:

- effect on trust and confidence;
- perception of service users, the public and other employees on professionalism and fairness;
- operational issues e.g. working patterns, financial and procurement separation requirements;
- conflicting loyalties and breaches of confidentiality and trust.

Open, constructive and confidential discussion between workers and managers/supervisors is

essential to ensure these implications do not occur and that all parties can be protected. No-one should be involved in discipline, promotion, pay or other decisions for anyone where there is a close personal relationship.

It may be necessary in certain circumstances to consider transferring workers that form close personal relationships at work. Any such action will be taken wherever possible by agreement with both parties and without discrimination.

Colleagues who feel they are affected by a close personal relationship at work involving other colleagues should at all times feel that they can discuss this, without prejudice, with their Headteacher/Line Manager, other manager, LAC or CEO.

#### **5.6.4 Workers related to pupils**

Any workers related to, or who are the carer of a pupil are expected to separate their familial and employment role.

Workers must not show or provide any preferential treatment to them or become involved in their education or care beyond their specific role as an employee/volunteer or their role as a parent/carer/relation.

## **5.7 Dress code**

### **5.7.1. Religious and Cultural Dress**

The Trust/school recognises and respects that employees may wish to dress/display symbols of faith according to their individual religious or cultural beliefs. The wearing of religious and cultural dress (including clerical collars, head scarves, skull caps and turbans) is allowable and will not be discouraged. The exception to this protocol is where health, safety and/or welfare will be compromised by the wearing of such dress and/or where this is likely to enhance the risk to other persons or prevent complete and effective communication.

The following points should be borne in mind:

- some religions and cultures require a certain mode of dress; for example, the wearing of compulsory items, such as bangles (kara) as worn by Sikh men and women.
- priority will be given to health and safety requirements, as laid down by national legislation. Any queries relating the wearing of cultural or religious dress should be directed to the Headteacher/ Trust's CEO.

### **5.7.2. Tattoos**

In line with the expectation that all employees should present themselves in a manner which reflects their role as professionals and role models, any employees with tattoos that may be visible are asked to cover tattoos wherever possible. Any tattoos which may be considered offensive, inappropriate or potentially discriminatory must be covered at all times during working hours. Employees should seek clarification from the Headteacher/CEO if they are in doubt as to whether their tattoo should be covered.

Dress Codes for Schools within the Trust are as follows:

### **5.7.3. Thriftwood School & College:**

All employees, students and volunteers are expected to ensure they dress appropriately for the type of work they do:

- No denim to be worn
- Low-cut, revealing clothes must not be worn
- Midriffs, bottoms and underwear should not be seen
- Jewellery – ensure that items will not cause harm to pupils and other staff
- No backless, flip-flop style sandals
- Appropriate footwear should be worn for PE activities

Please Note: Thriftwood College has a regular 'dress down' day, where staff can wear more casual attire, including denim. This day is organised at the discretion of the Headteacher.

#### **5.7.4. Langham Oaks School:**

All staff are models for the pupils in the school. Therefore, they have a responsibility to model appropriate dress and appearance. The image that we project as professionals is associated with how we present ourselves. It is therefore important to dress and groom appropriately when acting in a professional capacity and with due regard to any conclusions parents/visitors may draw from our appearance.

Staff are expected to dress appropriately for the nature of the activity in which they are involved. All staff have a responsibility to dress appropriately, whether it is in the school day or in the residences during the evenings. Clothes with provocative or offensive logos, for example, must not be worn and staff are advised that they should be sensitive to their dress with regards to working with adolescent boys.

Generally, staff are asked to follow a smart casual approach to dress, with the following specifics:

- No shorts, except when participating in sport or outside activities
- Women's shoulders should always be covered
- No shorts to be worn in kitchen
- No revealing clothes
- Staff should wear clothing appropriate to their work area. If you are supplied with a uniform or overalls for your role within the school, then you must wear these whilst carrying out your duties eg catering, caretaking, science, design technology, food technology and art.
- Staff should not wear any clothing which would contravene any Health & Safety regulations of the school
- Wear appropriate footwear. Open-toe and backless footwear is allowed (not flip-flops), but worn at the risk of the individual. Staff must remember that whilst open-toe footwear may be safe for most of the time during the normal day to day running of a school, staff may be asked to cover some subjects where there will be more risks, eg technology.

#### **5.7.5. Grove House School:**

This dress code will be non-contractual and forms part of the school's 'work rules'. Employees are expected to dress smartly in a manner which reflects their role as professionals and role models. Clothing should be neat and in good repair.

Appropriate shoes must be worn at all times except when employees are engaged in religious observance. The following examples should be taken into consideration when defining what is regarded as inappropriate clothing for the workplace. This is not intended to be an exhaustive list.

- sport related attire, including t-shirts/tops or ties with slogans relating to football teams or other club crests, would not be appropriate
- slogans or pictures on t-shirts/tops containing nudity or foul language, may be deemed offensive, and would not be appropriate
- revealing attire i.e. shorts (hot-pants/cut-off jeans/sports shorts are not acceptable, however tailored shorts to the knee would be deemed acceptable), crop tops, 'shoe string' or 'spaghetti' straps, clothes made of see through materials, and clothes that expose areas of the body usually covered in the workplace, may be deemed offensive, and would not be appropriate
- an observable lack of underwear, or observable underwear may be deemed offensive, and would not be appropriate
- any articles of clothing or jewellery which may present a Health and Safety hazard for employees

These restrictions are in place as some articles of clothing may be regarded as inconsistent with portraying a professional image and acting as a role model, they may also be considered offensive to some employees and potentially discriminatory or may cause health and safety concerns.

The above are some illustrative examples of what would be regarded as inappropriate attire for the workplace. If employees require further clarification on whether an item of clothing may be deemed inappropriate they should speak to their line manager before wearing such an item in school.

In all cases where safety clothing and equipment is required it should be worn/used as appropriate. This is seen as an integral part of employee's responsibility under the Health & Safety at Work Policy.

It is part of this responsibility to highlight to the Health and Safety Co-ordinator any defect in safety clothing or equipment provided to an employee by the school.

#### **5.7.6. Kingswode Hoe School:**

It is important that staff dress appropriately for work. The 'look' should be clean and neat, not necessarily formal, i.e. no need to wear a tie, suit or jacket.

PE clothing must be worn if you are leading or supporting in a PE lesson. PE clothing should only be worn during the part of the day in which you are participating in a PE lesson. You will be expected to change at lunchtime into or out of PE clothing.

In all cases dress should be such that it:

- is not likely to be viewed as offensive, revealing, or sexually provocative;
- does not distract or cause embarrassment;
- does not include political, offensive or otherwise contentious slogans; and
- is not considered to be discriminatory and/or culturally insensitive

### **5.8 Use of financial resources**

Workers must ensure that they use public and any other funds entrusted to them in a responsible and lawful manner. They must strive to ensure value for money and ensure rigorous adherence to Financial Regulations.

### **5.9 School property and personal possessions**

Workers must ensure they take due care of school/Trust property at all times, including proper and safe use, security, appropriate maintenance and reporting faults. If employees are found to have caused

damage to school/Trust property through misuse or carelessness this may result in disciplinary action.

Workers are responsible for the safety and security of their personal possessions while on school/Trust premises. The school/Trust will not accept responsibility for the loss or damage of personal possessions.

### **5.10 Conduct during the Coronavirus Pandemic**

The SEAX Trustees expect the whole Trust community to behave responsibly during the Pandemic, and act in a way which protects and minimises the risk to themselves and others and enables maximum attendance by both staff and pupils.

There are strict measures in each Trust provision, governed by risk assessments and guidelines, with which everyone employed/engaged by the school/Trust is expected to familiarise themselves, including any updates as they occur. Any individuals who require clarification of any aspect of the measures/risk assessments must seek guidance/clarification from their line manager without delay.

All guidelines and requirements set out in the risk assessments and through reasonable management instruction must be complied with at all times whilst working at the school/Trust.

In addition, all individuals employed/engaged by the school/Trust (including staff, volunteers and third-party workers) are expected to behave responsibly and act in line with current latest Government Regulations and Guidelines on Covid-19 when outside work to protect themselves and others.

This includes:

- Keeping your distance from people outside your household or support bubble;
- Limiting social interactions in line with limits set by the Government;
- Ensuring high levels of hygiene are maintained, particularly regular hand washing;
- Wearing face coverings where required to do so by Government guidelines and regulations, except where exempt;
- Following Government guidance on testing and ensure that where symptoms are present a test is taken as soon as reasonably practicable and self-isolating, along with any household members, until the outcome is available;
- Any individuals who test positive for Covid-19 must notify the school/Trust immediately and self-isolate until the end of the recommended period;
- Fully co-operating with the NHS track and trace service, observing any requirement to self-isolate following contact with an infected person and not returning to school/Trust until the recommended period of self-isolation has been completed;
- Continuing to report absence in line with school/Trust requirements, including where any absence relates to Covid-19 or the need to self-isolate;
- Not booking holidays which it is known require quarantine on return to the UK and will thereby prevent the fulfilment of contractual obligations to attend work.

All individuals working at the school/Trust are responsible for ensuring the steps set out above are complied with to meet the following objectives:

- maximising levels of attendance for adults and students;

- enabling the Trust to maintain high quality provision of education to all students;
- maintaining public confidence in the profession, the Trust and its schools;
- avoiding reputational damage to the school/Trust.

**The Trust reserves the right to take appropriate action, including disciplinary action, where these objectives are put at risk by an individual's conduct.**



## Appendix 1 – ICT Acceptable Use Policy

**PLEASE NOTE: This ICT Acceptable Use Policy applies to all those directly employed to work within the SEAX Trust central offices.**

**It is usual for individual Trust Schools to have their own ICT Acceptable Use Policies, as these are tailored to the requirements of individual provisions. Where this is the case, these individual policies should be adhered to by all individuals whilst on that site.**

### 1. Introduction

ICT (including data) and the related technologies such as computers, email, the internet and mobile devices are an expected part of daily working life in work/school and the use of electronic communication and resources is encouraged.

All members of the Trust community are expected to use ICT responsibly and to comply with all applicable laws, policies and procedures, and with normal standards of professional and personal courtesy and conduct.

This policy is designed to ensure that all workers are aware of their professional responsibilities when using any form of ICT.

**A breach of this policy will be considered gross misconduct and could result in dismissal of staff. For further information, please refer to the SEAX Trust Code of Conduct.**

In addition, failure to follow this policy may result in the withdrawal of access to school/work computers, email and internet and/or to disciplinary action, depending on the circumstances of the case.

'Technology and the law' change regularly and this policy will be updated as and when necessary. Workers will be informed when the policy has changed but it is their responsibility to read the latest version of this document.

### 2. Use of School Equipment/Networks

Computers, Mobile Phones and other devices provided by the school/Trust are loaned to individuals to support their professional responsibilities and must be used in accordance with this policy.

Workers are responsible for the safe and proper use, care and security of equipment and systems provided. Devices must be secured appropriately especially when leaving the school/Trust premises (i.e. not left unattended) and protected from unauthorised access or use (i.e. not accessed by family members). Any loss, damage or unauthorised access must be reported immediately.

Workers must not use school/Trust equipment, networks or system to access, download, send or receive, store, create, copy or distribute any material which may be malicious, illegal, libellous, immoral, dangerous or offensive (this includes but is not limited to pornographic, sexual, violent or criminal content and racist, sexist, or otherwise discriminatory material).

Any appropriate and authorised electronic communication with pupils must be through official school/Trust network, channels, systems and on school/Trust equipment.

### **3. Use of Email**

School/Trust business must always be conducted through official email addresses, which must be secured with password controls. Workers should respond to emails during working hours in a timely and appropriate fashion.

Email should be treated like any other form of written communication and, as such, the content should be appropriate and accurate and data protection compliant.

Extreme care must be taken with attachments from third parties, particularly unidentified third parties, as these may contain viruses.

Email must not be used to receive, send or forward messages that are defamatory, obscene or otherwise inappropriate. If such an email is received, whether unwittingly or otherwise and from whatever source, this must not be forwarded to any other address and must be reported immediately.

Reasonable access and use of the internet/intranet and email facilities is available to recognised representatives of professional associations' i.e. union officers for the performance of their official duties and activities.

### **4. Social Networks**

Social networking applications include but are not limited to:

- Blogs
- Online discussion forums, for example Facebook;
- Media sharing services for example YouTube;
- Professional networking sites, for example Linked In
- 'Micro-blogging' application for example Twitter

Where the school/Trust operates official networking sites, these must be managed and used in accordance with this policy. This includes the following requirements:

- use of official (i.e. not personal) email addresses for user accounts;
- appropriate feedback and complaints information must be published in a prominent place which is easily accessible to other users;
- the school/Trust's logo and other branding elements should be used to indicate the school/Trust's

support. The school/Trust's logo should not be used on social networking applications which are unrelated to or are not representative of the school/Trust's official position;

- users should identify themselves as their official position held within the school/Trust on social networking applications eg through providing additional information on user profiles;
- any contributions on any social networking application must be professional, uphold the reputation of the school/Trust and be in accordance with data protection requirements;
- users must not promote or comment on personal matters (including personal/ financial matters), commercial ventures, political matters or campaigns, religion or other matters.

## **5. Personal use of School/Trust Equipment/Networks**

School/Trust equipment, internet services, systems and email may be used for incidental personal purposes, with the approval of the line manager, provided that it:

- does not interfere with the school/Trust's operation of computing facilities or email services;
- does not interfere with the user's employment or performance of professional duties or other obligations to the school/Trust;
- is of a reasonable duration and frequency;
- is carried out in authorised break times or outside their normal working hours;
- does not over burden the system or create any additional expense to the school/Trust;
- is not used to access, send, receive or store inappropriate material; and
- does not bring the Trust, school and its community into disrepute.

Workers must notify the school/Trust of any significant personal use.

Reasonable access and use of the internet/intranet and email facilities is available to recognised representatives of professional associations' i.e. union officers for the performance of their official duties and activities.

Email should be treated like any other form of written communication and, as such, the content should be appropriate and accurate and data protection compliant.

School/Trust equipment/networks/systems must additionally not be used for

- commercial purposes not under the auspices of the school/Trust;
- personal financial gain;
- personal use that is inconsistent of other school/Trust policies or guidelines; or
- ordering of goods to be delivered to the school/Trust address or in the school/Trust's name.

## **6. Use of personal ICT equipment in school/work**

### **Mobile Phones**

It is accepted that individuals may bring personal mobile phones to school/work. Personal mobiles should have security codes to prevent access by other persons and must be stored securely and not accessible to pupils at any time.

Workers are not permitted to use their personal mobile phones to call, text, email or in any other way message pupils. Nor may they divulge their personal telephone number(s) or other contact details to pupils under any circumstances.

Workers are required to ensure mobile telephones are switched off/to silent during working hours and accessed only during authorised breaks. Any urgent phone calls or messages must be directed to the office who will notify workers immediately. Workers who need to use their mobile telephone to make or receive an urgent call during working hours should where possible obtain prior authorisation from their line manager to do so.

### **Other electronic devices**

Workers should not bring other electronic devices onto school/Trust premises unless this has been specifically authorised by an appropriate manager. In such circumstances, the computer/equipment must be kept securely (at the risk of the owner) and security protected so that it cannot be accessed by pupils or others at the school/Trust building.

Any personal use of such equipment must be restricted to an employee's break times or outside their normal working hours and must not impact on their duties in any way.

Additionally, specific permission must be obtained prior to connecting any device to school/Trust networks/systems and the device(s) must have adequate virus protection.

Workers must ensure that no personal information regarding school/Trust business, its pupils or staff is stored on such personal equipment.

Where exceptionally, specific permission is granted to use personal equipment for work purposes e.g. to give a presentation, the employee must be extremely vigilant that personal files/data etc. are not inadvertently accessed or displayed.

No pictures or videos may be taken within school/Trust premises or at any school related activity, on personal devices.

## **7. Personal social networks**

The Trust recognises individual rights to privacy and a private life. However, the law generally views social media as in the public domain, irrespective of privacy settings. Workers are therefore advised to be mindful of their duties and obligations to uphold the reputation of the school/Trust, to comply with the Code of Conduct and other policies and contractual terms in their use of personal social media – being mindful of the real possibility for material to be posted, shared and made public inadvertently or by other contacts.

The school/Trust may require the removal of content it considers inappropriate.

It is totally unacceptable for any worker to discuss pupils, parents, work colleagues or any other member of the school/Trust community or any school/Trust related business on any type of social networking site.

Other posting on personal sites may also impact on the reputation of the school/Trust or the suitability/conduct of the employee for example if an employee is off sick but makes comments on a site to the contrary, postings of indecent or inappropriate images/activities etc.

Workers must not accept or propose contact, nor engage in any conversation with pupils on any personal social networking sites and should be circumspect in personal network contact with former pupils, particularly those under the age of 18 years.

Individuals working in the school/Trust should not use or access social networking sites of pupils.

## **8. Security**

The Trust follows sound professional practices to secure data, system programmes, email records and networks under its control.

Workers must take all reasonable precautions to maintain security and confidentiality and to protect data. This includes:

- using appropriate security measures such as encryption/password protection to transmit confidential or sensitive information;
- ensuring all devices and system access are password protected
- using secured memory sticks (all laptops, memory sticks and devices used must be encrypted);
- ensuring that pupils are not exposed to any inappropriate images or web links; and
- respecting all copyrights and not copy, retrieve, modify or forward copyrighted materials except as permitted by the copyright owner.

Users must not:

- use, transfer or tamper with other people's accounts and files;
- use anonymous mailing services to conceal identity when mailing through the Internet, falsify e-mails to make them appear to originate from someone else, or provide false information to any Internet service which requests name, e-mail address or other details;
- use electronic media and services in a manner that is likely to cause network congestion or significantly hamper the ability of other people to access and use the system;
- store sensitive or confidential data on their own equipment – this extends to personal cameras, mobile phones and other similar devices;
- use the internet/intranet facilities or equipment to deliberately create any virus, worm, Trojan horse or any such other programme that is harmful to normal computer operations.

- monitor or intercept the files or electronic communications of other workers or third parties;
- hack or obtain access to systems or accounts they are not authorised to use;
- use other people's log-ins or passwords; or
- breach, test, or monitor computer or network security measures without authorisation.

Where any security breach or inappropriate connection or ICT activity occurs, the user must immediately disconnect/log out and report immediately.

## **9. Privacy and Monitoring**

The Trust respects workers' privacy and will not routinely inspect or monitor emails, data or internet usage.

However, workers should not have any expectation of absolute privacy in his or her use of the school/Trust's systems or equipment (including but not limited to networks/servers/internet usage/networks/ Wi-Fi). Under the following circumstances the school/Trust reserves the right, at its discretion, to review any electronic files and messages to the extent necessary to ensure systems are being used appropriately:

- when required by law;
- if there is a substantiated reason to believe that a breach of the law; or school/Trust's policy has taken place;
- if the school/Trust suspects that the employee has been viewing/transmitting offensive or illegal material;
- if the school/Trust suspects that the employee has been spending an excessive amount of time on activity which is not work related;
- where required for compliance checks eg auditors, data protection; or
- where there are emergency or compelling circumstances.

The school/Trust will endeavour to notify affected individuals of any monitoring which will take place and the reason for it, save in exceptional circumstances (see below).

Workers will normally be notified of what information will be recorded and retained, and for how long, who will have access and how such information will be used, which will include using such information for disciplinary purposes where applicable.

When monitoring emails, the school/Trust will, save in exceptional circumstances; confine itself to looking at the address and heading of the emails. Workers should mark any personal emails (where these are permitted by the school/Trust) as such and encourage those who send them to do the same. The school/Trust will avoid, where possible, opening emails clearly marked as private or personal.

The school/Trust considers the following to be valid reasons for checking an employee's email:

- if the employee is absent for any reason and communications must be checked for the smooth running of the school/Trust to continue;
- if the school/Trust suspects that the employee has been viewing or sending offensive or illegal material, such as material containing racist terminology or nudity (although the school/Trust understands that it is possible for workers inadvertently to receive such material and they will have the opportunity to explain if this is the case);
- if the school/Trust suspects that an employee has been using the email system to send and receive an excessive number of personal communications (or any personal emails if this is prohibited by the school/Trust); and
- if the school/Trust suspects that the employee is sending or receiving emails that are detrimental to the Trust, school or its pupils.

The school/Trust may monitor communications without notification in certain specific circumstances, including but not limited to;

- establishing the existence of facts relevant to the school/Trust e.g. whether a contract was entered into by email;
- ascertaining compliance with regulatory or self-regulatory practices e.g. checking that the school/Trust is complying with external or internal regulations;
- ascertaining or demonstrating standards that are, or ought to be, achieved by workers using the system;
- investigating or detect unauthorised use of the telecommunication system, which would include checking that workers are not breaching the school/Trust's policy on email and internet use; and
- ensuring the effective operation of the system, for example through virus monitoring.

Monitoring will be reasonable and in accordance with current legislation.

## **10. Covert monitoring**

Covert monitoring will only be used in exceptional circumstances, for example, where the school/Trust suspects criminal activity or where telling the employee about the monitoring would make it difficult to prevent or detect such wrongdoing.

If the school/Trust considers covert monitoring to be justified, this will only take place as part of a specific investigation, and will cease when the investigation has been completed.